FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  MERVINE EDWARD						2. Issuer Name and Ticker or Trading Symbol Pathfinder Bancorp, Inc. [ PBHC ]									icable) or		% Own	ner
(Last) (First) (Middle) 214 WEST FIRST STREET						3. Date of Earliest Transaction (Month/Day/Year) 08/01/2018								below	Officer (give title Other (specify below) below)  Senior Vice President			
(Street) OSWEGO NY 13126					4. 11	4. If Amendment, Date of Original Filed (Month/Day/Year)								Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting				
(City)	(S	tate)	(Zip)								Perso	Person						
		Tab	le I - No	n-Deriv	/ative	Sec	uriti	es A	cquired	, Dis	sposed (	of, or Be	eneficia	lly Owne	d			
1. Title of Security (Instr. 3)  2. Tran Date (Month				saction /Day/Ye	ar) E	2A. Deemed Execution Date, if any (Month/Day/Year)		Code	Transaction Code (Instr.		ities Acqui d Of (D) (In	red (A) or str. 3, 4 an	Benefic Owned	es ially Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	t of ct Be Ov	7. Nature of Indirect Beneficial Ownership	
									Code	v	Amount	(A) o	Price	Reporte Transac (Instr. 3	tion(s)		(In	nstr. 4)
Common Stock														11	11,441			
Common Stock														9	9,824		В	y IRA
Common Stock														4	,981	I	By ES	y SOP
Common Stock														10	,790	I	B <sub>2</sub>	y 01(K)
		Т									osed of converti			y Owned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deem Execution if any (Month/Da	Date,	4. Transactior Code (Instr. 8)				6. Date E Expiratio (Month/D	n Dat		Amount of Securities Underlying Derivative	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s (Instr. 4)	Owner Form: Direct or Ind (I) (Ins	(D) rect	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercisa		Expiration Date	Title	Amount or Number of Shares					
Stock Options	\$11.35								05/06/20	17	05/06/2026	Common Stock	0		15,816	5 E		
Stock Options	\$5.4638								06/23/20	12	06/23/2021	Common Stock	0		11,879	) [		

## **Explanation of Responses:**

## Remarks:

It has been discovered that a Form 4 filing was not completed when Mr. Mervine, Senior Vice President, resigned from the Company and was, therefore, no longer subject to Section 16 Filings.

/s/ James A. Dowd as POA for **Edward Mervine** 

01/05/2022

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.