SEC Form 3 FORM 3

UNITED STATES SECURITIES AND EXCHANGE

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per 0.5 response:

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and A Braheney	ddress of Repo	orting Person [*]	2. Date of E Requiring S (Month/Day 06/29/202	itatement /Year)	3. Issuer Name and Ticker or Trading Symbol <u>Pathfinder Bancorp</u> , Inc. [PBHC]					
(Last) 214 WEST (Street) OSWEGO (City)	(First) FIRST STRE NY (State)	(Middle) EET 13126 (Zip)) wner (specify	Filed (Month/Day/Year) 6. Individual or Joint/Group Filing		Year) int/Group Filing e Line) by One Reporting by More than One
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)				E	2. Amount of Securities Beneficially Owned (Instr. I)	3. Own Form: I (D) or II (I) (Inst	Direct Ownership (Instr. 5)			
Common Stock					453		ſ	ESO	SOP	
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Se Underlying Derivative Se (Instr. 4)		4. Convers or Exerc Price of	rsion rcise	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
I I-		Date Exercisable	Expiration Date	Title	Amount or Deriv Number of Shares		tive or Indirect		5)	

Explanation of Responses:

Remarks:

James A. Dowd as POA for Rebecca Braheney

06/29/2021

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.