## SEC Form 4

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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	OMB Number:	3235-0287								
	Estimated average burden									
	hours per response:	0.5								

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1	ress of Reporting F LLA RONAI		2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Pathfinder Bancorp, Inc.</u> [ PBHC ]		ationship of Reporting Pe ( all applicable) Director	erson(s) to Issuer 10% Owner		
(Last) 214 WEST FI	(First) (Middle) ST FIRST STREET		3. Date of Earliest Transaction (Month/Day/Year) 03/08/2022	X	Officer (give title below) Executive Vice	Other (specify below) President		
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	Line)	, ,			
OSWEGO	NY	13126		X	Form filed by One Re Form filed by More th			
(City)	(State)	(Zip)			Person			
		Table I - Non-De	rivative Securities Acquired, Disposed of, or Ben	ficially	Owned			

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	03/08/2022		S		83	D	\$17.65	58,526	D	
Common Stock	03/09/2022		S		4,917	D	\$17.65	53,609	D	
Common Stock								7,821(1)	I	By ESOP
Common Stock								20,292	I	By 401(K)
Common Stock								5,000	Ι	By Spouse

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

05/06/2017

Explanation of Responses:

\$11.35

1. Reflects ESOP shares allocated in March 2022

**Remarks:** 

Stock

Options

/s/ James A. Dowd as POA for 03/10/2022

0

15,816

D

Ronald Tascarella

Common

Stock

05/06/2026

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.